

Guidelines for Cumulative Dissertations Faculty of Management and Economics

Regulations on Doctoral Procedures also provide for the possibility of a dissertation (doctoral thesis) in the form of a series of qualified papers (cumulative dissertation). Overall, cumulative dissertations are governed by the quality requirements applying to dissertations presented in the form of a monograph. It is the responsibility of the respective reviewers to ensure that these requirements are adhered to. The decision to opt for a cumulative dissertation must be submitted to the chairperson of the doctoral committee in the context of the application for admission to doctoral procedures in accordance with § 3 (2.2.3).

Academic achievements required for admission (by the doctoral committee):

1. At least three thematically related papers must be submitted. The doctoral candidate has to demonstrate the thematic correlation between these papers in a written summary.
2. The doctoral candidate has to compile at least one of the papers as first or corresponding author.
3. Co-authorships are welcome. Candidates must document their contribution to the papers. If a paper has been written by more than two co-authors, at least four papers must be submitted.
4. Not more than one paper co-authored by the doctoral candidate may be part of another (ongoing or completed) dissertation.

5. At least one of the papers must have been published or must have been accepted for publication in a peer-reviewed academic journal, which is listed in a ranking recognized by the respective discipline (e.g. Handelsblatt or Jourqual, as well as journal rankings specific for the discipline) and/or has an impact factor. The remaining papers that have not yet been published have to exhibit the potential for publication. If the papers have not yet been published or accepted for publication, the reviewers have to assess whether this quality level has been reached. In this context, acceptance of a paper for presentation at peer-reviewed conferences can be an external quality indicator. Invited contributions, reviews, editorials and commentaries are not deemed papers in the meaning of these guidelines.

Requirements for assessment by reviewers:

If the minimum formal requirements for admission have been met, the assessment of the doctoral thesis may include all papers exceeding these minimum requirements and being relevant to the doctoral project in terms of content. This refers specifically to above Section 4: co-authorship of papers being part of other dissertations.

Witten, 31.03.2020

Prof. Dr. Marcel Tyrell

Dean of the Faculty of Management and Economics

(Translation: March 2020 – The original German version is legally binding.)